



List of Securities Investment Business Licensees

Cayman Islands Monetary Authority
P.O. Box 10052
80e Sheddon Road, Elizabethan Square
Grand Cayman, KY1-1001
CAYMAN ISLANDS

Licence	Name	Licence Date	Broker/Dealer	Securities Manager	Securities Advisor	Securities Arranger	Market Maker
25001	CIBC Bank and Trust Company (Cayman) Limited	02-Mar-2004	X	X	X		
25002	BIAS (Cayman) Limited	04-Oct-2006	X		X		
25005	The Seven Asset Management (Asia) Limited	22-Jun-2004		X	X		
25008	Alexandria Global Investment Management Ltd.	17-Aug-2004		X			
25009	Intertrust Asset Management (Cayman) Limited	27-Apr-2004		X	X		
25010	Cayman National Securities Ltd.	11-Apr-2005	X	X	X		
25011	RBC Dominion Securities Global Limited	01-Mar-2005	X	X	X		
25013	FirstCaribbean International Bank (Cayman) Limited	04-Apr-2005	X		X	X	
25014	The Dundee Merchant Bank	04-May-2004	X	X			
25015	Butterfield Bank (Cayman) Limited	27-Apr-2004	X	X	X		
25017	Millennium bcp Bank & Trust	10-Aug-2004	X	X	X		
25018	Delta Bank and Trust Company, Grand Cayman	06-Apr-2004		X			
25019	Schroder Cayman Bank and Trust Company Limited	18-Jan-2005	X	X	X		
25022	Five Continents Financial Limited	27-Apr-2004		X			
25023	Scotiabank & Trust (Cayman) Ltd.	15-Feb-2005	X	X	X		
25028	International Financial Planning (Cayman) Limited	04-Jan-2005			X	X	
25029	Unibanco Cayman Bank Ltd.	30-Nov-2004	X			X	X
25031	CITCO Bank and Trust Company Limited	20-Jun-2005				X	
25033	GAIN Global Markets, Inc.	01-Jan-2007				X	
25034	EFG Wealth Management (Cayman) Ltd.	08-Aug-2007	X	X	X	X	X
25035	DEUTSCHE BANK (CAYMAN) LIMITED	30-Apr-2007			X		
25037	VBT Bank & Trust, Ltd.	17-May-2007	X			X	
25039	NCB Capital Markets (Cayman) Ltd.	06-May-2008					X
25040	HSBC Bank (Cayman) Limited	22-Sep-2008			X	X	
25041	The Seven Asset Management Limited	15-Apr-2009		X	X		
25043	Octis Asset Management Cayman Limited	23-Nov-2010		X			
568201	Mubadala Pramerica Real Estate Investors Limited	30-Jun-2011		X	X	X	
574280	ITA Global Securities Ltd.	29-Mar-2011	X	X	X	X	
584080	Asiya Asset Management (Cayman) Ltd.	06-Jul-2012		X	X		
585163	Tradeview Ltd.	04-Apr-2012	X				
585373	Caledonian Securities Limited	26-Jan-2012	X		X		
586250	Permanent Value Asset Management Inc.	21-Feb-2012		X			